

02/01/01  
jc891 U.S. PTO

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (12/97)  
Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 41039 Total Pages 99

First Named Inventor or Application Identifier

Norman G. Anderson et al.

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 89]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 8]
4. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))  
(Copy from Parent Appln.)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Small Entity Status applies
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/628,339 filed 28 July 2000; 09/482,460 filed 13 January 2000; and 60/146,653 filed 30 July 1999.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Dean H. Nakamura			
	Roylance, Abrams, Berdo & Goodman, L.L.P.			
ADDRESS	1300 19 <sup>th</sup> Street, N.W.			
	Suite 600			
CITY	Washington	STATE	D.C.	ZIP CODE 20036
COUNTRY	United States	TELEPHONE	(202) 659-9076	FAX (202) 659-9344

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL FORM

Attorney Docket No.: 41039

ASSISTANT COMMISSIONER OF PATENTS  
**BOX: PATENT APPLICATION**  
 Washington, D.C. 20231

1c986 U.S. PTO  
 09/774794  
 02/01/01

Transmitted herewith for filing is the patent application of:

Inventor: **Norman G. Anderson; N. Leigh Anderson and James A. Braatz**

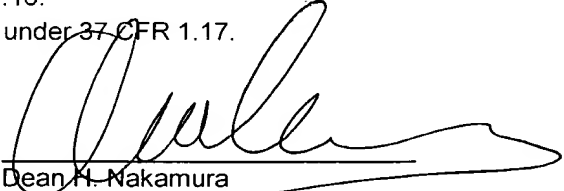
For: **MICROARRAYS AND THEIR MANUFACTURE BY SLICING**

The filing fee has been calculated as follows:

(Col. 1)		(Col. 2)		SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED		NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE					\$355.00	OR		\$690.00
TOTAL	80	- 20=	60	60 x 9 =	\$540.00		x 18 =	\$
INDEP	18	- 3=	15	15 x 39 =	\$585.00		x 78 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				+ 130 =	\$		+ 260 =	\$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2				TOTAL	\$1480.00		TOTAL	\$

- ☒ This application is being filed without oath or declaration and without the filing fee pursuant to 37 C.F.R. §1.53(f).
- ☒ The application is entitled to small entity status.
- ☐ A check in the amount of \$ to cover the above calculated filing fee and ☐ \$40.00 to cover the Assignment recording fee is enclosed.
- ☒ The application is a continuation-in-part of U.S. Ser. No. 09/628,339 filed 28 July 2000 and U.S. Ser. No. 09/482,460 filed 13 January 2000 and claims benefit to U.S. Ser. No. 60/146,653 filed 30 July 1999.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-2220. A duplicate copy of this sheet is attached.
- ☒ Any additional excess claim fees under 37 CFR 1.16.
- ☒ Any additional patent application processing fees under 37 CFR 1.17.

Dated: 1 February 2001

  
 Dean H. Nakamura  
 Attorney of Record  
 Reg. No. 33,981

Roylance, Abrams, Berdo & Goodman, L.L.P.  
 1300 19<sup>th</sup> Street, N.W. Suite 600  
 Washington, D.C. 20036-2680  
 (202) 659-9076